# Continuous fields of C\*-algebras and their invariants

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Shanghai, June 2010

# ${\cal C}(X)$ -algebras and Continuous fields

X metrizable compact space

**Definition.** A structure of C(X)-algebra on a separable C\*-algebra A consists of a unital \*-homomorphism

$$\theta:C(X)\to Z(\mathbb{M}(A))$$

 $\theta$  is called the structure morphism. If A is unital  $\theta:C(X)\to Z(A)$ , Z(A)=the center of A. Usually we drop  $\theta$  from notation and write

$$\theta(f)a = fa$$

Putting a C(X)-algebra structure on A is equivalent to giving a continuous map  $\Theta: \operatorname{Prim}(A) \to X.$ 

Due to the C(X)-module structure we can localize at the points of X:

fibers: 
$$A(x) = A/C(X, x)A$$
 restrictions  $A(Y) = A/C(X, Y)A$ 

evaluation maps: 
$$\pi_x: A \to A(x)$$
,  $a(x) := \pi_x(a)$ .

 $A\ C(X)$ -algebra becomes a continuous field **if** 

$$x \mapsto ||a(x)||$$
 is continuous  $\forall a \in A$ .

Putting a continuous field structure on A is equivalent to giving a continuous and open map  $\Theta: \operatorname{Prim}(A) \to X$ .

If a C(X)-algebra A is unital and all fibers are  $\underline{\text{simple}}$  then A is automatically a continuous field.

### Morphisms of continuous fields

A morphism of continuous fields is a C(X)-linear \*-homomorphism  $\varphi:A\to B,\ \varphi(fa)=f\varphi(a).$ 

It induces maps  $\varphi_x:A(x)\to B(x)$  on each fiber

 $\varphi_V:A(V)\to A(V)$  where  $V\subset X$  is either open or closed.

 $V ext{ open } \Rightarrow A(V) := C_0(V)A ext{ ideal of } A$ 

 $V \text{ closed} \Rightarrow A(V) := C(X, V)A \text{ quotient of } A$ 

### **Examples of continuous fields**

If A is any separable C\*-algebra with center Z(A)=C(X) and each A(x) fiber is simple then A is always a continuous field over X for the structure map  $Z(A)\subset A$ .

- (0) If the primitive spectrum Prim(A) = X of A is Hausdorff, then A is a continuous field with simple fibers over X.
  - (1) Trivial field with fiber D:  $A = C(X) \otimes D$ .
- (2) Locally trivial fields:  $\forall x \in X$  has closed neighborhood V with  $A(V) \cong C(V) \otimes D$ .
- (3) next slide will show fields with fixed fiber but which are not locally trivial

## How complicated can a continuous field be?

If  $\gamma: D \to D$  injective \*-hom and  $0 \le r \le 1$ , then

$$B_r = \{ f \in C[0,1] \otimes D : f(r) \in \gamma(D) \}$$

has all its fibers are  $\cong D$ . The fiber at r is  $\gamma(D) \cong D$ .

<u>Fact</u>:  $B_r \cong C[0,1] \otimes D$  if and only if there is a continuous path  $\alpha: [0,1] \to \operatorname{End}(D)$  such that  $\alpha[0,1) \subset \operatorname{Aut}(D)$  and  $\alpha(1) = \gamma$ .

## A field nowhere locally trivial

$$B_{r_i} = \{ f \in C[0,1] \otimes D : f(r_i) \in \gamma(D) \}$$

If  $(r_n)$  dense in [0,1], then the infinite tensor product

$$B_{r_1} \otimes_{C[0,1]} B_{r_2} \otimes_{C[0,1]} \cdots B_{r_3} \otimes_{C[0,1]} \cdots$$

is field over [0,1] which does not need to be locally trivial at any point, even though all fibers are mutually isomorphic to  $D\otimes D\otimes D\otimes \cdots$ .

being a continuous field  $\Rightarrow$  any kind of local triviality

even if the fibers are mutually isomorphic.

**Thm.** (Fell, Tomiyama-Takesaki) a unital C\*-algebra all of whose irreducible representations are of the same finite dimension n is given by the continuous sections of a <u>locally trivial</u> bundle over a compact Hausdorff space with fiber  $M_n(\mathbb{C})$ .

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What if all primitive quotients of A are isomorphic to  $\mathcal{K}$  the compact operators on a separable Hilbert space and  $\operatorname{Prim}(A) = X$  is Hausdorff? A is certainly a continuous field over X with fiber  $\mathcal{K}$ . But is it locally trivial?

What if for all irred reps  $\pi$  of A,  $\pi(A) \cong D$ , same D.

A=separable continuous field with fibers the compact operators  $\mathcal K$  over a compact space X.

**Def** A satisfies Fell's condition if  $\forall x \in X \exists p \in A$  and a neighborhood V of x such that p(v) is a projection of rank one for each point  $\forall v \in V$ .

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How to extend these results to fields with all fibers isom. to a fixed D?

## A continuous field which does not satisfy Fell's condition

$$B = \{ f \in C[0,1] \otimes M_2(\mathbb{C}) : f(1/2) = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} \}$$

 $B\otimes\mathcal{K}$  has all its fibers isomorphic to  $\mathcal{K}$  the compact operators.

 $B \otimes \mathcal{K} \ncong C[0,1] \otimes \mathcal{K}$ . Indeed, since  $\operatorname{Ker}(B \to B(1/2))$  is contractible  $\Rightarrow K_0(B) \cong K_0(B(1/2))$ .

Thus 
$$(\pi_x)_*: \mathbb{Z} = K_0(B) \to K_0(B(x)) = \mathbb{Z}$$
 is  $k \mapsto 2k$  if  $x \neq 1/2$ .

It useful to consider the K-theory presheaf to capture invariants.  $K_0(A[0,2/3])=\mathbb{Z}\to K_0(A[0,1/3])=\mathbb{Z}$  is again  $k\mapsto 2k$ .

#### **Glueing and sheaves**

Any C(X)-algebra yields a sheaf of C\*-algebras

$$V\mapsto A(V), \qquad V\subset W\Rightarrow A(W)\to A(V). \quad \text{Moreover the following}$$

diagram is a pullback:

$$A(U \cup V) \longrightarrow A(U)$$

$$\downarrow \qquad \qquad \downarrow$$

$$A(V) \longrightarrow A(U \cap V)$$

$$A(U \cup V) \cong \{(a,b) \in A(U) \oplus A(V) | \pi_{U \cap V}(a) = \pi_{U \cap V}(b) \}.$$

Here U, V, W are closed subsets of X.

## K-theory presheaf

$$V \mapsto K_0(B(V)),$$

$$V \mapsto K_0(B(V)), \qquad V \subset W \Rightarrow K_0(B(W)) \to K_0(B(V)).$$

$$K_*(A(U \cup V)) \longrightarrow K_*(A(U))$$

$$\downarrow \qquad \qquad \downarrow$$

$$K_*(A(V)) \longrightarrow K_*(A(U \cap V))$$

is not a pullback in general (Mayer-Vietoris). It is a pullback in the case of sheaves (by definition).

### One parameter continuous fields

**Thm.** (D-Elliott) All unital separable continuous fields over [0,1] with fiber  $\mathcal{O}_n$  are trivial.

**Thm.** (D-Elliott): The unital separable continuous fields over [0,1] whose fibers are stable Kirchberg algebras satisfying the UCT with  $K_0$  torsion free and  $K_1 = 0$  are classified by the K-theory sheaf.

**Thm.** (D-Niu-Elliott): All continuous sheaves of countable abelian groups over [0,1] arise as the  $K_0$ -sheaf of a one-parameter continuous field of Kirchberg algebras.

#### $\mathcal{O}_n$ -fields

**Thm:** A unital separable continuous field over X finite dimensional compact Hausdorff and  $A(x) \cong \mathcal{O}_n \ \forall x \in X$ . Then A is locally trivial.

$$n=2 \quad \Rightarrow \quad A \cong C(X) \otimes \mathcal{O}_2$$
 
$$n=\infty \quad \Rightarrow \quad A \cong C(X) \otimes \mathcal{O}_\infty$$
 
$$3 \leq n < \infty \quad \Rightarrow \quad A \cong C(X) \otimes \mathcal{O}_n \text{ iff } (n-1)[1_A] = 0 \text{ in } K_0(A).$$

Key property of  $O_n$  which explains the result:  $\operatorname{Aut}(O_n)$  is a "deformation retract" of  $\operatorname{End}_1(O_n)$ . This is reminiscent of  $\operatorname{End}_1(M_n(\mathbb{C})) = \operatorname{Aut}(M_n(\mathbb{C}))$ 

### Fiberwise KK-theory

Kasparov defined  $KK_X(A,B)$  for C(X)-algebras, same definition as for KK

(E,T) is Fredholm-bimodule:

$$[T,a]\sim 0$$
,  $(T^2-1)a\sim 0$ ,  $(T^*-T)a\sim 0$  and

$$\left| fa \, \xi \, b = a \, \xi \, fb \, \right| \quad a \in A, \, b \in B, \, f \in C(X).$$

product  $KK_X(A,B) \times KK_X(B,C) \to KK_X(A,C)$ 

invertible elements  $KK_X(A,B)^{-1}$ 

Have map  $KK_X(A,B) \longrightarrow KK(A,B)$ .

$$KK_X(A,B) \longrightarrow KK(A(V),B(V))$$

 $\forall V\subset X \text{ closed or open.}$ 

# Kirchberg's isomorphism theorem

Let A,B unital sep. nuclear C\*-algebras with Hausdorff spectrum X.

**Thm.** (Kirchberg) 
$$A \otimes \mathcal{O}_{\infty} \otimes \mathcal{K} \cong B \otimes \mathcal{O}_{\infty} \otimes \mathcal{K} \Leftrightarrow KK_X(A,B)^{-1} \neq \emptyset$$
.

#### Caveats:

 $KK_X(A,B)$  is a complex object; very hard to compute. Even if X=[0,1].

Given A and B how does one determine if they are  $KK_X$ -equivalent, i.e. if  $KK_X(A,B)^{-1} \neq \emptyset$ ?

#### $KK_X$ -equivalence

Thm. Let A and B be separable nuclear continuous fields over a finite dimensional compact metrizable space X. If  $\sigma \in KK_X(A,B)$ , then  $\sigma \in KK_X(A,B)^{-1}$  if and only if  $\sigma_x \in KK(A(x),B(x))^{-1}$  for all  $x \in X$ .

Condition is easy to verify if UCT is assumed for fibers.

Indeed, this amounts to the bijectivity of

$$(\sigma_x)_* : K_*(A(x)) \to K_*(B(x)).$$

Suppose now that  $A = C(X) \otimes D$ . Then

$$KK_X(C(X) \otimes D, B) \cong KK(D, B)$$

$$\sigma \in KK_X(C(X) \otimes D, B)^{-1} \Leftrightarrow \sigma_x \cong KK(D, B(x))^{-1}, \forall x \in X$$

### **Local triviality**

Def. A satisfies the Fell condition if  $\forall x \in X \exists V$  closed neighborhood of x and  $\sigma \in KK(D, A(V))$  such that  $\sigma_x \in KK(D, A(V))^{-1}$  for all  $v \in V$ .

**Thm.** X finite dimensional space. A separable unital continuous field with fibers stable Kirchberg algebras. Then A is locally trivial  $\Leftrightarrow A$  satisfies the Fell condition

Def. A satisfies the global Fell condition if  $\exists \sigma \in KK(D,A)$  such that  $\sigma_x \in KK(D,A(x))^{-1}$  for all  $x \in X$ . Suppose X finite dimensional and D stable Kirchberg algebra.

**Cor.** A satisfies the global Fell condition  $\Leftrightarrow A$  is isomorphic to  $C(X)\otimes D$ .

Note that if  $D=\mathcal{K}$ , then we just have essentially the classic Fell condition. Indeed,

$$K_X(C(X) \otimes \mathcal{K}, A) \cong KK(\mathcal{K}, A) = \text{Hom}(\mathbb{Z}, K_0(A))$$

Thus  $\sigma \in K_X(C(X) \otimes \mathcal{K}, A)^{-1}$  is given by a virtual projection of virtual rank one in each fiber  $K_0(A(x))$ .

Conclusion: Thm 1 of Dixmier-Douady extends to general nuclear continuous fields.

What about Thm2 of Dixmier-Douady? How to classify locally trivial continuous fields with fiber D?

#### Homotopy groups

 $\operatorname{Aut}(\mathcal{K}) = U(H)/\mathbb{T}$ . Since U(H) is contractible, all homotopy groups of  $\operatorname{Aut}(\mathcal{K})$  are zero except for  $\pi_2\operatorname{Aut}(\mathcal{K}) = \mathbb{Z}$ .

Thus all homotopy groups of  $B\mathrm{Aut}(\mathcal{K})$  are zero except for  $\pi_3 B\mathrm{Aut}(\mathcal{K}) = \mathbb{Z}$ . It follows that

$$[X, B\mathrm{Aut}(\mathcal{K})] \cong H^3(X, \mathbb{Z}).$$

The question is now to compute the homotopy classes  $[X, B\mathrm{Aut}(D)]$  for a (unital) Kirchberg algebra.

#### Homotopy groups

If D is a unital C\*-algebra,we let  $C_{\nu}D$  denote the mapping cone C\*-algebra of the unital map  $\nu:\mathbb{C}\to D$ .

Thm. Let D be a unital Kirchberg algebra. Suppose that X is path connected and finite dimensional and let  $x_0 \in X$ . Then there are bijections

$$\chi: [X, \operatorname{Aut}(D)^0] \to KK(C_{\nu}D, SC(X, x_0) \otimes A),$$

Cor 
$$\pi_{2k+1}(\mathcal{O}_{m+1}) = \mathbb{Z}/m$$
,  $\pi_{2k}(\mathcal{O}_{m+1}) = 0, k \ge 0$ .

$$0 \quad \mathbb{Z}/m \quad 0 \quad \mathbb{Z}/m \quad 0 \quad \mathbb{Z}/m \quad 0 \cdots$$

$$\operatorname{Aut}(O_{m+1})$$

The computation of  $[X, BAut(O_{m+1})]$  is still open. We know

$$[X, \operatorname{Aut}(O_{m+1})] \cong K_1(C(X) \otimes O_{m+1}).$$

Specifically the unitary  $x \mapsto U(x) \in U(O_{m+1})$  gives a map

$$X \mapsto \operatorname{End}(O_{m+1}), x \mapsto \alpha_x$$

$$\alpha_x(S_i) = u(x)S_i.$$

Then the map  $x \mapsto \alpha_x$  is homotopic to a map  $X \to \operatorname{Aut}(O_{m+1})$ .

This yields the classification of unital  $O_{m+1}$  continuous fields over SX the suspension of X.

#### $\infty$ -dimensional spaces

**Thm.** Let A, B be nuclear, separable continuous fields over a finite dimensional metrizable spectrum X. Then an element  $\sigma \in KK_X(A,B)$  is a  $KK_X$ -equivalence  $\Leftrightarrow \sigma_x \in KK(A(x),B(x))^{-1}$  for all  $x \in X$ .

**Cor.** Automatic triviality of separable continuous fields with fiber  $\mathcal{O}_2 \otimes \mathcal{K}$  over finite dimensional spaces.

**Example**: There is a nontrivial separable unital continuous field A over X=the Hilbert cube with fibers isomorphic to  $\mathcal{O}_2$ ;  $A \ncong C(X) \otimes \mathcal{O}_2$  despite the contractibility of X and  $\pi_n \mathrm{Aut}(\mathcal{O}_2) = 0 \ \forall n \geq 0$ .

Distinguishing invariant:

$$K_0(A) = \bigoplus_{k=1}^{\infty} \mathbb{Z}/2$$
 whereas  $K_0(C(X) \otimes \mathcal{O}_2) = 0$ 

#### $\infty$ -dimensional spaces

Using  $E_X$ -theory over non Hausdorff spaces:

**Thm.**(D-Meyer) Let A, B be nuclear, separable continuous fields over a metrizable compact space X. Then an element  $\sigma \in KK_X(A,B)$  is a  $KK_X$ -equivalence  $\Leftrightarrow \sigma_x \in KK(A(V),B(V))^{-1}$  for all  $V \subset X$  closed subset.

**Cor.**(D-Meyer) Let A be separable continuous field of simple nuclear algebras over a compact space X. Suppose that all ideals of A are KK-equivalent to 0. Then  $A\otimes \mathcal{O}_{\infty}\otimes \mathcal{K}\cong C(X)\otimes \mathcal{O}_2\otimes \mathcal{K}$ .

### **UCT** for zero dimensional Haudorff spaces

$$UCT: \operatorname{Ext}_{\mathbb{Z}}(\mathsf{K}_{*}(A),\mathsf{K}_{*}(SB)) \rightarrow \mathsf{KK}(A,B) \twoheadrightarrow \operatorname{\mathsf{Hom}}_{\mathbb{Z}}(\mathsf{K}_{*}(A),\mathsf{K}_{*}(B)).$$

$$UMCT: \mathsf{PExt}_{\mathbb{Z}}\big(\mathsf{K}_*(A),\mathsf{K}_*(SB)\big) \rightarrowtail \mathsf{KK}(A,B) \twoheadrightarrow \mathsf{Hom}_{\Lambda}\big(\underline{\mathsf{K}}(A),\underline{\mathsf{K}}(B)\big).$$

Fact:

$$\mathsf{PExt}_{\mathbb{Z}}\big(\mathsf{K}_*(A),\mathsf{K}_*(B)\big) = \mathsf{Ext}_{\Lambda}\big(\underline{\mathsf{K}}(A),\underline{\mathsf{K}}(B)\big)$$

#### **UCT** for zero dimensional Haudorff spaces

Let X be a metrizable zero dimensional compact Hausdorff space  $A,\,B$  nuclear continuous fields over X with fibers satisfying the UCT. The following sequence is not exact.

$$\operatorname{Ext}_{\operatorname{C}(X,\mathbb{Z})} \big( \operatorname{K}_*(A), \operatorname{K}_*(SB) \big) \rightarrowtail \operatorname{KK}_X(A,B) \twoheadrightarrow \operatorname{Hom}_{\operatorname{C}(X,\mathbb{Z})} \big( \operatorname{K}_*(A), \operatorname{K}_*(B) \big).$$

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Thm.(D-Meyer) There is an exact sequence

$$\operatorname{Ext}_{\mathsf{C}(X,\Lambda)}\big(\underline{\mathsf{K}}(A),\underline{\mathsf{K}}_*(SB)\big) \rightarrowtail \mathsf{KK}_X(A,B) \twoheadrightarrow \operatorname{\mathsf{Hom}}_{\mathsf{C}(X,\Lambda)}\big(\underline{\mathsf{K}}(A),\underline{\mathsf{K}}(B)\big).$$